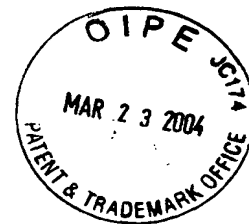


Docket No. 247117US0/ams



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shigemi WAKABAYASHI

SERIAL NO: 10/743,076

GAU:

FILED: December 23, 2003

EXAMINER:

FOR: WATER-BASED INK

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

**Roland E. Martin**  
**Registration No. 48,082**

DOCKET NO.: 247117US0/ams

Page 1 of 1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Shigemi WAKABAYASHI

SERIAL NO: 10/743,076

GROUP:

FILED: December 23, 2003

EXAMINER:

FOR: WATER-BASED INK

**STATEMENT OF RELEVANCY**

References AP [JP 9-286939 (JP 8-35081)], AQ [JP -241565 (JP 8-48498)] and AR [JP10-279873 (JP 9-24680)] are discussed in the specification.



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 247117US0		SERIAL NO. 10/743,076	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Shigemi WAKABAYASHI			
				FILING DATE December 23, 2003		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,246,154	01/20/1981	Y. YAO			
	AB	5,852,074	12/22/1998	T. TSUTSUMI, et al.			
	AC	5,998,501	12/07/1999	T. TSUTSUMI, et al.			
	AD	2003/0055178 A1	03/20/2003	R. H. GORE, et al.			
	AE	5,973,025	10/26/1999	A. NIGAM, et al.			
	AF	6,090,193	07/18/2000	A. NIGAM, et al.			
	AG	6,117,222	09/12/2000	A. NIGAM, et al.			
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	54-58504	05/11/1979	JAPAN (corr. to US 4,246,154)			X
	AP	9-286939 (8-35081)	11/04/1997	JAPAN (corr. US 5,852,074)			X
	AQ	9-241565 (8-48498)	09/16/1997	JAPAN (corr. US 5,852,074)			X
	AR	10-279873 (9-24680)	10/20/1998	JAPAN ( corr. to US 5,998,501)			X
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Patent Abstracts of Japan, JP 7-034023, February 3, 1995					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							